

MONTHLY STATUS REPORT FOR MONETARY PENALTY – AUGUST 2025

Details of Penalty Levied on DPs:

DP ID	DP Name	Penalty Imposed (Rs.)	Audit Type/ Reasons
10200	KHAMBATTA SECURITIES LIMITED	200	Delay in scanning and uploading the DIS images
10600	ANAND RATHI SHARE AND STOCK BROKERS LIMITED	500	PAN Modification
10700	KRCHOKSEY SHARES AND SECURITIES PRIVATE LIMITED	500	PAN Modification
10800	GANDHI SECURITIES AND INVESTMENT PVT LTD	200	Delay in scanning and uploading the DIS images
10900	MOTILAL OSWAL FINANCIAL SERVICES LIMITED	1,000	PAN Modification
12101	SURESH RATHI SECURITIES PRIVATE LIMITED - JODHPUR	500	PAN Modification
12107	SURESH RATHI SECURITIES PVT LIMITED - KOTA	300	Delay in scanning and uploading the DIS images
12600	GEPL CAPITAL PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
13500	CIL SECURITIES LIMITED	300	Delay in scanning and uploading the DIS images
13800	BANK OF MAHARASHTRA	300	Delay in scanning and uploading the DIS images
14200	JANATA SAHAKARI BANK LTD.,	500	PAN Modification
14200	JANATA SAHAKARI BANK LTD.,	300	Delay in scanning and uploading the DIS images
14200	JANATA SAHAKARI BANK LTD.,	15,000	Delay in submitting Inspection compliance
14200	JANATA SAHAKARI BANK LTD.,	2,000	Delay in submitting Internal Audit Report Compliance
15400	THE GREATER BOMBAY CO-OPERATIVE BANK LIMITED	200	Delay in scanning and uploading the DIS images
16700	VSE STOCK SERVICES LIMITED	600	Delay in scanning and uploading the DIS images
17500	KANTILAL CHHAGANLAL SECURITIES PRIVATE LIMITED	500	PAN Modification
19101	SMC GLOBAL SECURITIES LIMITED - NEW DELHI	500	PAN Modification
20800	BOI SHAREHOLDING LIMITED	1,000	PAN Modification

DP ID	DP Name	Penalty Imposed (Rs.)	Audit Type/ Reasons
22500	RASHI EQUISEARCH PRIVATE LIMITED	300	Delay in scanning and uploading the DIS images
24400	MONEY CARE SECURITIES AND FINANCIAL SERVICES PRIVATE LIMITED	15,000	Delay in submitting Inspection compliance
24400	MONEY CARE SECURITIES AND FINANCIAL SERVICES PRIVATE LIMITED	4,000	Delay in submitting Internal Audit Report Compliance
24700	MANGAL KESHAV FINANCIAL SERVICES LLP	500	PAN Modification
24700	MANGAL KESHAV FINANCIAL SERVICES LLP	200	Delay in scanning and uploading the DIS images
25000	M. PRASAD AND CO LIMITED	300	Delay in scanning and uploading the DIS images
25900	UNION BANK OF INDIA	1,500	PAN Modification
25900	UNION BANK OF INDIA	1,800	Delay in scanning and uploading the DIS images
28100	PRAGYA SECURITIES P LTD	200	Delay in scanning and uploading the DIS images
29400	SAURASHTRA CAPITAL BROKING PRIVATE LIMITED	300	Delay in scanning and uploading the DIS images
29800	CAPSTOCKS AND SECURITIES INDIA PRIVATE LIMITED	500	PAN Modification
30700	P.C.S. SECURITIES LIMITED	200	Delay in scanning and uploading the DIS images
31500	WAY2WEALTH BROKERS PRIVATE LIMITED	1,000	Delay in submitting Internal Audit Report Compliance
31600	KALPATARU MULTIPLIER LIMITED	200	Delay in scanning and uploading the DIS images
32100	MANUBHAI MANGALDAS SECURITES PRIVATE LIMITED	500	PAN Modification
33200	ANGEL ONE LIMITED	1,000	PAN Modification
34200	JAMNADAS VIRJI SHARES AND STOCK BROKERS PRIVATE LIMITED	400	Delay in scanning and uploading the DIS images
34900	SANGHAVI SAVLA STOCK BROKERS LIMITED	200	Delay in scanning and uploading the DIS images
35000	MONARCH NETWORTH CAPITAL LIMITED	500	PAN Modification
35300	RAJCHANDRA CAPITAL SERVICES PRIVATE LIMITED	600	Delay in scanning and uploading the DIS images

DP ID	DP Name	Penalty Imposed (Rs.)	Audit Type/ Reasons
35800	THE AKOLA URBAN COOPERATIVE BANK LIMITED, AKOLA	4,000	Delay in submitting Internal Audit Report Compliance
36200	INANI SECURITIES LIMITED	200	Delay in scanning and uploading the DIS images
36200	INANI SECURITIES LIMITED	500	Inspection Observation
36700	ELIXIR EQUITIES PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
38600	SAMURAI SECURITIES PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
38900	RBL BANK LIMITED	200	Delay in scanning and uploading the DIS images
41100	KOTAK MAHINDRA BANK LIMITED	2,000	Delay in submitting Internal Audit Report Compliance
42100	KHAJANCHI AND GANDHI STOCKBROKING PRIVATE LIMITED	1,000	Delay in scanning and uploading the DIS images
42100	KHAJANCHI AND GANDHI STOCKBROKING PRIVATE LIMITED	3,000	Delay in submitting Internal Audit Report Compliance
43300	EXCLUSIVE SECURITIES LIMITED	1,200	Delay in scanning and uploading the DIS images
44400	MARFATIA STOCK BROKING PRIVATE LIMITED	500	PAN Modification
45500	DEALMONEY COMMODITIES PRIVATE LIMITED	300	Delay in scanning and uploading the DIS images
46200	SHREE NAMAN SECURITIES AND FINANCE PRIVATE LIMITED	1,000	Delay in submitting Internal Audit Report Compliance
47600	SHAREWEALTH SECURITIES LIMITED	5,000	PAN Modification
47700	NORTHEAST BROKING SERVICES LIMITED	400	Delay in scanning and uploading the DIS images
48600	AFN LANGRANA SHARE AND STOCK BROKERS PRIVATE LIMITED	400	Delay in scanning and uploading the DIS images
48600	AFN LANGRANA SHARE AND STOCK BROKERS PRIVATE LIMITED	1,000	Delay in submitting Internal Audit Report Compliance
50100	NEW INDIA CO-OPERATIVE BANK LIMITED	2,500	Inspection Observation
50900	INDO THAI SECURITIES LIMITED	200	Delay in scanning and uploading the DIS images
51000	MITHUN SECURITIES PRIVATE LIMITED	300	Delay in scanning and uploading the DIS images
51500	RIKHAV SECURITIES LIMITED	200	Delay in scanning and uploading the DIS images

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52000	KARUNA FINANCIAL SERVICES PVT LTD	200	Delay in scanning and uploading the DIS images
52900	ADINATH CAPITAL SERVICES LIMITED	1,000	Inspection Observation
53200	VCK SHARE AND STOCK BROKING SERVICES LTD.	200	Delay in scanning and uploading the DIS images
53700	COMFORT SECURITIES LIMITED	200	Delay in scanning and uploading the DIS images
55600	A.K. STOCKMART PRIVATE LIMITED	800	Delay in scanning and uploading the DIS images
57800	AUM CAPITAL MARKET PRIVATE LIMITED	400	Delay in scanning and uploading the DIS images
58200	SHRI PARASRAM HOLDINGS PRIVATE LIMITED	1,000	Delay in submitting Internal Audit Report Compliance
58300	NAVJEEVAN EQUITY BROKING PRIVATE LIMITED	800	Delay in scanning and uploading the DIS images
59000	ORBIS FINANCIAL CORPORATION LIMITED	500	Delay in Surveillance Alerts Response
59100	LAKSHMISHREE INVESTMENT AND SECURITIES LIMITED	400	Delay in scanning and uploading the DIS images
59400	FAST CAPITAL MARKETS LTD.	5,000	Inspection Observation
60800	NCJ SHARE AND STOCK BROKERS LIMITED	200	Delay in scanning and uploading the DIS images
61700	CSE CAPITAL MARKETS PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
62800	SUNSHINE STOCK BROKING PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
62900	WAY2WEALTH BROKERS PRIVATE LIMITED	3,000	Delay in submitting Internal Audit Report Compliance
64400	ALLWIN SECURITIES LIMITED	600	Delay in scanning and uploading the DIS images
64400	ALLWIN SECURITIES LIMITED	5,000	Delay in submitting Inspection compliance
64800	ABANS SECURITIES PRIVATE LIMITED	600	Delay in scanning and uploading the DIS images
65000	HINDUSTAN TRADECOM PRIVATE LIMITED	1,000	Delay in scanning and uploading the DIS images
65900	TRADESWIFT BROKING PRIVATE LIMITED	400	Delay in scanning and uploading the DIS images
66900	CHOICE EQUITY BROKING PRIVATE LIMITED	4,600	Delay in scanning and uploading the DIS images

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66900	CHOICE EQUITY BROKING PRIVATE LIMITED	500	Delay in Surveillance Alerts Response
67200	MARKET-HUB STOCK BROKING PRIVATE LIMITED	600	Delay in scanning and uploading the DIS images
67800	JRK STOCK BROKING PRIVATE LIMITED	300	Delay in scanning and uploading the DIS images
68100	FRR SHARES AND SECURITIES LIMITED	200	Delay in scanning and uploading the DIS images
68100	FRR SHARES AND SECURITIES LIMITED	4,000	Delay in submitting Internal Audit Report Compliance
68800	QUEST SECURITIES LIMITED	500	PAN Modification
69700	RAGHUNANDAN CAPITAL PRIVATE LIMITED	10,000	Inspection Observation
71300	A C AGARWAL SHARE BROKERS PRIVATE LIMITED	1,200	Delay in scanning and uploading the DIS images
72000	SHREE BAHUBALI STOCK BROKING LIMITED	2,000	Delay in submitting Internal Audit Report Compliance
72300	WEALTH FIRST PORTFOLIO MANAGERS LIMITED	400	Delay in scanning and uploading the DIS images
74200	VISHWAS FINCAP SERVICES PRIVATE LIMITED	300	Delay in scanning and uploading the DIS images
74300	ATS SHARE BROKERS PRIVATE LIMITED	4,000	Delay in submitting Internal Audit Report Compliance
74800	P SURYAKANT SHARE AND STOCK BROKERS PRIVATE LIMITED	1,000	Delay in scanning and uploading the DIS images
74900	SHRENI SHARES LIMITED	400	Delay in scanning and uploading the DIS images
75800	ACUMEN CAPITAL MARKET (INDIA) LIMITED	2,200	Inspection Observation
78300	WEALTH INDIA FINANCIAL SERVICES PRIVATE LIMITED	500	PAN Modification
79700	F R RATNAGAR AND COMPANY PRIVATE LIMITED	2,000	Delay in submitting Internal Audit Report Compliance
80400	ZEBU SHARE AND WEALTH MANagements PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
81700	PURE BROKING PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
81800	UPSTOX SECURITIES PRIVATE LIMITED	500	PAN Modification
82400	GANGA NAGAR COMMODITY LIMITED	500	PAN Modification

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82400	GANGA NAGAR COMMODITY LIMITED	200	Delay in scanning and uploading the DIS images
83400	RAISE SECURITIES PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
85000	NIDHI BROKING SERVICES PRIVATE LIMITED	400	Delay in scanning and uploading the DIS images
85200	SILVER STREAM EQUITIES PRIVATE LIMITED	1,000	Delay in submitting Internal Audit Report Compliance
87500	KEDIA CAPITAL SERVICES PRIVATE LIMITED	2,400	Delay in scanning and uploading the DIS images
88400	RELITRADE STOCK BROKING PRIVATE LIMITED	300	Delay in scanning and uploading the DIS images
88500	THINCREDBLU SECURITIES PRIVATE LIMITED	300	Delay in scanning and uploading the DIS images
88600	BAJAJ FINANCIAL SECURITIES LIMITED	500	PAN Modification
88700	GROWW INVEST TECH PRIVATE LIMITED	600	Delay in scanning and uploading the DIS images
88701	GROWW INVEST TECH PRIVATE LIMITED	500	Delay in Surveillance Alerts Response
89400	FYERS SECURITIES PRIVATE LIMITED	3,000	Delay in submitting Internal Audit Report Compliance
92100	FINWIZARD TECHNOLOGY PRIVATE LIMITED	500	PAN Modification
94100	GROWTH SECURITIES PRIVATE LIMITED	300	Delay in scanning and uploading the DIS images
94100	GROWTH SECURITIES PRIVATE LIMITED	8,67,000	Inspection Observation
94600	YES SECURITIES INDIA LIMITED	200	Delay in scanning and uploading the DIS images
95400	SIGNATUREGLOBAL COMTRADE PRIVATE LIMITED	400	Delay in scanning and uploading the DIS images
95700	VIJIT GLOBAL SECURITIES PRIVATE LIMITED	200	Delay in scanning and uploading the DIS images
96100	BONDBAZAAR SECURITIES PRIVATE LIMITED	300	Delay in scanning and uploading the DIS images
96100	BONDBAZAAR SECURITIES PRIVATE LIMITED	15,000	Delay in submitting Inspection compliance
96100	BONDBAZAAR SECURITIES PRIVATE LIMITED	3,000	Delay in submitting Internal Audit Report Compliance
98100	SB PATIL SECURITIES PRIVATE LIMITED	3,000	Delay in submitting Internal Audit Report Compliance

DP ID	DP Name	Penalty Imposed (Rs.)	Audit Type/ Reasons
99000	MALIRAM MAKHARIA FINSTOCK PRIVATE LIMITED	1,000	Delay in submitting Internal Audit Report Compliance
99800	POCKETFUL FINTECH CAPITAL PRIVATE LIMITED	2,000	Delay in submitting Internal Audit Report Compliance

Details of Penalty Levied on RTAs/ Issuers directly connected to Depository:

RTAs/ Issuers	RTAs/ Issuers Name	Imp Monetary	Audit Type/ Reasons
169	S.K. INFOSOLUTIONS PRIVATE LIMITED (ERSTWHILE S K COMPUTERS)	1,000	Delay in submitting Internal Audit Report Compliance
241	NAGARJUNA FERTILIZERS AND CHEMICALS LIMITED	1,000	Delay in submitting Internal Audit Report Compliance
170	ABS CONSULTANTS PRIVATE LIMITED	2,000	Inspection Observation
384	INDO MONEY SECURITIES PRIVATE LIMITED	3,000	RTA Internal Audit Report Compliance not submitted
384	INDO MONEY SECURITIES PRIVATE LIMITED	500	Inspection Observation
24545	ISSUER - ELITEGURUKUL LIMITED # EQUITY SHARES	1,000	Inspection Observation
24593	ISSUER - FERMION INFOTECH PRIVATE LIMITED # EQUITY SHARES	500	Inspection Observation
25663	ISSUER - KIAASA RETAIL LIMITED # EQUITY SHARES	1,000	Inspection Observation
41124	ISSUER - PRIYADARSHINI POLYSACKS LIMITED # EQUITY SHARES	500	Inspection Observation
47064	ISSUER - GOLA FINANCE PRIVATE LIMITED # EQUITY SHARES	2,500	Inspection Observation